

#### Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : <u>30/11/2016</u>

To : Hong Kong Exchanges and Clearing Limited

| Name of Issuer | Anton Oilfield Services Group |
|----------------|-------------------------------|
| Date Submitted | 02/12/2016                    |

I. Movements in Authorised Share Capital

1. Ordinary Shares

| (1) Stock code : <u>3337</u> Descrip | otion : Ordinary       | Shares                           |   |
|--------------------------------------|------------------------|----------------------------------|---|
|                                      | No. of ordinary shares | Par value<br>(State<br>currency) | Authorised share<br>capital<br>(State currency) |
| Balance at close of preceding month  | 3,500,000,000          | HKD0.10                          | HKD350,000,000                                  |
| Increase/(decrease)                  | Nil                    |                                  | Nil   |
| Balance at close of the month        | 3,500,000,000          | HKD0.10                          | HKD350,000,000                                  |
| (2) Stock code : <u>N/A</u> Descrip  | otion : <u>N/A</u>     |                                  |   |
|                                      | No. of ordinary shares | Par value<br>(State<br>currency) | Authorised share<br>capital<br>(State currency) |
| Balance at close of preceding month  | N/A                    |                                  | N/A   |
| Increase/(decrease)                  | N/A                    |                                  | N/A   |
| Balance at close of the month        | N/A                    |                                  | N/A   |

# 2. Preference Shares

| Stock code : <u>N/A</u> Descr       | iption : <u>N/A</u>      |                                  |   |
|-------------------------------------|--------------------------|----------------------------------|---|
|                                     | No. of preference shares | Par value<br>(State<br>currency) | Authorised share<br>capital<br>(State currency) |
| Balance at close of preceding month | N/A                      |                                  | N/A   |
| Increase/(decrease)                 | N/A                      |                                  | N/A   |
| Balance at close of the month       | N/A                      |                                  | N/A   |

## 3. Other Classes of Shares

| Stock code : <u>N/A</u> Descri      | ption : <u>N/A</u>             |                                  |   |
|-------------------------------------|--------------------------------|----------------------------------|---|
|                                     | No. of other classes of shares | Par value<br>(State<br>currency) | Authorised share<br>capital<br>(State currency) |
| Balance at close of preceding month | N/A                            |                                  | N/A   |
| Increase/(decrease)                 | N/A                            |                                  | N/A   |
| Balance at close of the month       | N/A                            |                                  | N/A   |

Total authorised share capital at the end of the month *(State currency)* :

HKD350,000,000

#### II. Movements in Issued Share Capital

|  | No. of ordir  | nary shares | No of preference | No. of other classes |
|--|---------------|-------------|------------------|----------------------|
|  | (1)           | (2)         | shares           | of shares            |
| Balance at close of preceding month      | 2,216,196,047 | N/A         | N/A              | N/A                  |
| Increase/ (decrease)<br>during the month | 0             | N/A         | N/A              | N/A                  |
| Balance at close of the month            | 2,216,196,047 | N/A         | N/A              | N/A                  |

## III. Details of Movements in Issued Share Capital

| Particulars of share  |                | Movement dur   | ring the month |                | No. of new shares of<br>issuer issued during<br>the month pursuant | No. of new shares<br>of issuer which may<br>be issued pursuant |  |
|---|----------------|----------------|----------------|----------------|--|--|--|
| option scheme<br>including EGM<br>approval date<br>(dd/mm/yyyy) and<br>class of shares<br>issuable      |                |                |                |                | the month pursuant<br>thereto                                      | be issued pursuant<br>thereto as at close<br>of the month      |  |
| issuable  | Granted        | Exercised      | Cancelled      | Lapsed         |  |  |  |
| 1. Share Option<br>Scheme granted on<br>22 November 2012<br>Exercise price:<br>HKD2.610<br>(17/11/2007) |                |                |                |                |  |  |  |
| Ordinary shares   |                |                |                | 4,000,000      |  | 0  |  |
| (Note 1)<br>2. Share Option<br>Scheme granted on<br>10 January 2013<br>Exercise price:<br>HKD3.878      |                |                |                |                |  |  |  |
| (17/11/2007)  |                |                |                |                |  |  |  |
| Ordinary shares   |                |                |                | 68,000         |  | 17,613,21  |  |
| (Note 1)  |                |                |                |                |  |  |  |
| 3. Share Option<br>Scheme granted on<br>21June 2013<br>Exercise price:<br>HKD5.742                      |                |                |                |                |  |  |  |
| (17/11/2007)  |                |                |                |                |  | 1,276,00   |  |
| Ordinary shares<br>(Note 1)   |                |                |                |                |  |  |  |
| 4. Share Option<br>Scheme granted on<br>28 June 2013<br>Exercise price:<br>HKD5.600                     |                |                |                |                |  |  |  |
| (17/11/2007)<br>Ordinary shares<br>(Note I)   |                |                |                |                |  | 298,00   |  |
| 5. Share Option<br>Scheme granted on<br>20 November 2013<br>Exercise price:<br>HKD4.960                 |                |                |                |                |  |  |  |
| (17/11/2007)  |                |                |                |                |  |  |  |
| Ordinary shares (Note 1)  |                |                |                |                |  | 190,000  |  |
| 6. Share Option<br>Scheme granted on<br>24 February 2016<br>Exercise price:<br>HKD0.74                  |                |                |                |                |  |  |  |
| (17/11/2007)  |                |                |                |                |  |  |  |
| Ordinary shares   |                |                |                | 690,000        |  | 105,170,00   |  |
| (Note 1)<br>7. Share Option<br>Scheme granted on<br>1 April 2016<br>Exercise price:<br>HKD0.800         |                |                |                |                |  |  |  |
| (17/11/2007)  |                |                |                |                |  |  |  |
| Ordinary shares   |                |                |                |                |  |  |  |
| (Note 1)  |                |                |                |                |  | 7,200,000  |  |
|   |                |                | Total A (Ora   | linery shares) | Nil  |  |  |
|   |                |                | Total A. (Ord  | erence shares) | N/A  |  |  |
|   |                |                | (Field         | (Other class)  | N/A<br>N/A   |  |  |
| Total funds raised during   | g the month fr | om exercise of |                | Nil            | * *  |  |  |
| options (State currency)  |                |                |                |                |  |  |  |

| Share Options (under Share Option Schemes of the Is | ssuer) |
|---|--------|
|---|--------|

| Warrants to Issue Shares of the Is<br>Description of warrants<br>(Date of expiry - dd/mm/yyyy)   | Currency of Nominal value a<br>nominal close of<br>value preceding month | during the | Nominal value<br>at close of the<br>month    | No. of new<br>shares of<br>issuer issued<br>during the<br>month<br>pursuant<br>thereto | No. of new<br>shares of<br>issuer which<br>may be<br>issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|--|--|------------|--|--|---|
| <u>1. N/A</u>  | -  |            |  |  |   |
| ( / / )<br>Stock code (if listed)<br>Class of shares<br>issuable (Note 1)<br>Subscription price  | -<br>  | -          |  |  |   |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)<br>2. N/A   | ( / / )  | -          |  |  |   |
| ( / / )<br>Stock code (if listed)<br>Class of shares<br>issuable ( <i>Note 1</i> )<br>Subscription price<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)<br>3. N/A | ( / / )  |            |  |  |   |
| ( / / )<br>Stock code (if listed)<br>Class of shares<br>issuable (Note 1)<br>Subscription price<br>EGM approval date   | -<br>  | _          |  |  | <u> </u>  |
| (if applicable)<br>(dd/mm/yyyy)<br>4. N/A  | ( / / )<br>-<br>-  | -          |  |  |   |
| Class of shares<br>issuable ( <i>Note 1</i> )<br>Subscription price<br>EGM approval date<br>(if applicable)  |  | -          |  |  |   |
| (dd/mm/yyyy)   | ( / / )<br>Tot   | (Prefere   | nary shares)<br>ence shares)<br>Other class) | N/A<br>N/A<br>N/A  | -<br>-  |

| Convertibles (i.e. Convertible in          | Currency of amount | Amount at close<br>of preceding | Converted during the | Amount at close of the      | No. of new<br>shares of<br>issuer issued<br>during the<br>month<br>pursuant<br>thereto | No. of new<br>shares of<br>issuer which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|--|--------------------|---------------------------------|----------------------|-----------------------------|--|--|
| Class and description                      | outstanding        | month                           | month                | month                       |  |  |
| 1. N/A                                     |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
| Ctash as de (ifligted)                     |                    |                                 |                      |                             |  |  |
| Stock code (if listed)                     |                    |                                 |                      |                             |  |  |
| issuable (Note 1)                          |                    |                                 |                      |                             |  |  |
| Subscription price                         |                    |                                 |                      |                             |  |  |
| EGM approval date                          |                    |                                 |                      |                             |  |  |
| (if applicable)                            |                    |                                 |                      |                             |  |  |
| (dd/mm/yyyy))                              | ( / / )            |                                 |                      |                             |  |  |
| 2. N/A                                     |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
| Stock code (if listed)<br>Class of shares  |                    |                                 |                      |                             |  |  |
| issuable (Note 1)                          |                    |                                 |                      |                             |  |  |
| Subscription price                         |                    |                                 |                      |                             |  |  |
| EGM approval date                          |                    |                                 |                      |                             |  |  |
| (if applicable)                            |                    |                                 |                      |                             |  |  |
| (dd/mm/yyyy)                               | ( / / )            |                                 |                      |                             |  |  |
| 3. N/A                                     |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
| Stock code (if listed)                     |                    |                                 |                      |                             |  |  |
| Class of shares issuable ( <i>Note 1</i> ) |                    |                                 |                      |                             |  |  |
| Subscription price                         |                    |                                 |                      |                             |  |  |
| EGM approval date                          |                    |                                 |                      |                             |  |  |
| (if applicable)                            |                    |                                 |                      |                             |  |  |
| · · · · · · · · · · · · · · · · · · ·      | ( / / )            |                                 |                      |                             |  |  |
| 4. N/A                                     |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
|  |                    |                                 |                      |                             |  |  |
| Stool and (201:-1-1)                       |                    |                                 |                      |                             |  |  |
| Stock code (if listed)<br>Class of shares  |                    |                                 |                      |                             |  |  |
| issuable (Note 1)                          |                    |                                 |                      |                             |  |  |
| Subscription price                         |                    |                                 |                      |                             |  |  |
| EGM approval date                          |                    |                                 |                      |                             |  |  |
| (if applicable)                            |                    |                                 |                      |                             |  |  |
| (dd/mm/yyyy)                               | ( / / )            |                                 |                      | 1 \                         |  |  |
|  |                    |                                 | Total C. (Ordin      |                             |  | -  |
|  |                    |                                 |                      | nce shares)<br>Other class) |  | -  |
|  |                    |                                 | ((                   |                             | 1 N/ / <b>N</b>  | -  |

| (other than under Share Option Schemes)   |   |  |
|---|---|--|
| Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable: | No. of new shares of<br>issuer issued during<br>the month pursuant<br>thereto | No. of new shares of<br>issuer which may be<br>issued pursuant thereto<br>as at close of the month |
| 1. N/A  |   |  |
|   |   |  |
|   |   |  |
|   |   |  |
| shares (Note 1)   |   |  |
|   |   |  |
| 2. N/A  |   |  |
|   |   |  |
|   | ]   |  |
|   |   |  |
| shares (Note 1)   |   |  |
|   |   |  |
| 3. <u>N/A</u>   |   |  |
|   | -   |  |
|   |   |  |
|   |   |  |
| shares (Note 1)   |   |  |
|   |   |  |
| Total D. (Ordinary shares)  | N/A   |  |
| (Preference shares)   | N/A   |  |
| (Other class)   | N/A   |  |
|   |   |  |

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

|    |               | Issued Share Capital         |  |       |   | No. of new<br>shares of<br>issuer issued<br>during the<br>month<br>pursuant<br>thereto | No. of new<br>shares of<br>issuer which<br>may be<br>issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|----|---------------|------------------------------|--|-------|---|--|---|
|    | Type of Issue |                              | Class of shares issuable                   |       |   |  |   |
|    |               |                              | (Note 1)                                   |       |   |  |   |
| 1. | Rights issue  | At price : State<br>currency | Issue and allotment<br>date : (dd/mm/yyyy) | ( / / | ) |  |   |
|    |               |                              | EGM approval date:<br>(dd/mm/yyyy)         | ( / / | ) |  |   |
|    |               |                              | (dd filli yyyy)                            |       |   | N/A  | N/A   |
|    |               |                              | Class of shares issuable                   |       |   |  |   |
|    |               | At price . State             | <i>(Note 1)</i><br>Issue and allotment     | ( / / | ) |  |   |
| 2. | Open offer    | At price : State<br>currency | date : (dd/mm/yyyy)                        |       |   |  |   |
|    |               |                              | EGM approval date: (dd/mm/yyyy)            | ( / / | ) | 27/4   |   |
|    |               |                              |  |       |   | N/A  | N/A   |
|    |               |                              | Class of shares issuable (Note 1)          |       |   |  |   |
| 3. | Placing       | At price : State             | Issue and allotment<br>date : (dd/mm/yyyy) | ( / / | ) |  |   |
|    |               |                              | EGM approval date:<br>(dd/mm/yyyy)         | ( / / | ) |  |   |
|    |               |                              |  |       |   | N/A  | N/A   |
|    |               |                              | Class of shares issuable (Note 1)          |       |   |  |   |
| 4. | Bonus issue   |                              | Issue and allotment<br>date : (dd/mm/yyyy) | ( / / | ) |  |   |
|    |               |                              | EGM approval date:<br>(dd/mm/yyyy)         | ( / / | ) | <b>NT / A</b>  | <b>N</b> T / A  |
|    |               |                              |  |       |   | <u>N/A</u>   | N/A   |

|    |  | Class of shares issuable (Note 1)          |       | _ |            |       |
|----|--|--|-------|---|------------|-------|
| 5. | Scrip dividend At price : State currency | Issue and allotment<br>date : (dd/mm/yyyy) | ( / / | ) |            |       |
|    |  | EGM approval date:<br>(dd/mm/yyyy)         | ( / / | ) |            |       |
|    |  |  |       |   | <u>N/A</u> | N/A   |
|    |  | Class of shares repurchased(Note 1)        |       |   |            |       |
| 6. | Repurchase of shares                     | Cancellation date:<br>(dd/mm/yyyy)         | ( / / | ) |            |       |
|    |  | EGM approval date:<br>(dd/mm/yyyy)         | ( / / | ) |            |       |
|    |  |  |       |   | N/A        | N/A   |
|    |  | Class of shares redeemed( <i>Note 1</i> )  |       |   |            |       |
| 7. | Redemption of shares                     | Redemption date :<br>(dd/mm/yyyy)          | ( / / | ) |            |       |
|    |  | EGM approval date:<br>(dd/mm/yyyy)         | ( / / | ) | N/A        | N/A   |
|    |  |  |       |   |            | 10/11 |
|    |  | Class of shares issuable (Note 1)          |       |   |            |       |
| 8. | Consideration issue At price : State     | Issue and allotment<br>date : (dd/mm/yyyy) | ( / / | ) |            |       |
|    |  | EGM approval date:<br>(dd/mm/yyyy)         | ( / / | ) |            |       |
|    |  |  |       |   | N/A        | N/A   |
|    |  | Class of shares issuable (Note 1)          |       |   |            |       |
| 9. | Capital reorganisation                   | Issue and allotment<br>date : (dd/mm/yyyy) | ( / / | ) |            |       |
|    |  | EGM approval date:<br>(dd/mm/yyyy)         | ( / / | ) |            |       |
|    |  |  |       |   | N/A        | N/A   |

|     |                           |                  | Class of shares issua (Note 1)             | able  |                   |     |
|-----|---------------------------|------------------|--|---|-------------------|-----|
| 10. | Other<br>(Please specify) | At price : State | Issue and allotment<br>date : (dd/mm/yyyy) | ( / / )   |                   |     |
|     |                           |                  | EGM approval date:<br>(dd/mm/yyyy)         | ( / / )   | N/A               | N/A |
|     |                           |                  | Total E.                                   | (Ordinary shares)<br>(Preference shares)<br>(Other class) | N/A<br>N/A<br>N/A |     |

| Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):                          | (1) | Nil |  |  |
|--|-----|-----|--|--|
|  | (2) | N/A |  |  |
| Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):                        | N/A |     |  |  |
| Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):                  | -   | N/A |  |  |
| (These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").) |     |     |  |  |

Remarks (if any):

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| Submitted by: <u>PI Zhi Feng</u>                       |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
| Title: Executive Director                              |  |  |  |  |  |
| (Director, Secretary or other duly authorised officer) |  |  |  |  |  |
| (Director, Secretary of other dury authorised officer) |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.